+

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

## Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Attorney Docket No. NUFO011 UTILITY First Inventor PATENT APPLICATION **ZHANG** TRANSMITTAL Title **EXTERNAL CAVITY LASER WITH HIGH SPECTRAL PURITY** OUTPUT (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. EL923480463US **APPLICATION ELEMENTS** Assistant Commissioner for Patents **Box Patent Application** ADDRESS TO: SEE MPEP chapter 600 concerning utility patent application contents Washington, DC 20231 1. Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission Applicant claims small entity status. fif applicable, all necessary) See 37 CFR 1.27. a. Computer Readable Form (CRF) з. 🛛 b. Specification Sequence Listing on: Specification [Total Pages: 23\_\_\_] i. . CD-ROM or CD-R (2 copies); or -Descriptive title of the invention -Cross Reference to Related Applications il. Daper -Statement Regarding Fed sponsored R & D -Reference to sequence listing, a table, c. Statements verifying identity of above copies or a computer program listing appendix -Background of the Invention -Brief Summary of the Invention **ACCOMPANYING APPLICATION PARTS** -Brief Description of the Drawings (if filed) -Detailed Description 9. 🛛 Assignment Papers (cover sheet & documents(s)) -Claim(s) -Abstract of the Disclosure 37 CFR 3.73(b) Statement Power of Attorney 4. 🛛 (when there is an assignee) Drawing(s) (35 U.S.C. 113) [Total Pages: 3\_ English Translation Document (if applicable) 11. 5. Oath or Declaration [Total Pages: 4\_\_\_] Information Disclosure Copies of IDS Citations Newly executed (original or copy) Statement (IDS)/PTO-1449 Copy from a prior application (37 CFR 1.63 (d)) **Preliminary Amendment** (for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503) (Should be specifically itemized) i. L. DELETION OF INVENTOR(S) 15. Certified Copy of Priority Document(s) Signed statement attached deleting inventor(s) Named in the prior application, see 37 CFR (if foreign priority is claimed) 16. 1.63(d)(2) and 1.33(b) Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 6. 🛛 Application Data Sheet. See 37 CFR 1.76 or its equivalent. 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation ☐ Divisional Continuation-in-part (CIP) of prior application No.: \_\_\_ Prior application information: Examiner: . Group Art Unit: . For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. PONDENCE ADDRESS Customer Number or Bar Code Label or Correspondence address below (Insert Customer Me Name ROBERT C. HALL PATENT & TRADEMARK OFFICE **BOZICEVIC, FIELD & FRANCIS LLP** Address 200 Middlefield Road, Suite 200 City Menlo Park State California Zip 94025 Code Country U.S.A. Telephone (650) 327-3400 Fax (650) 327-3231 Name Robert C. Hall

Burden Hour Statement This form is estimated to take 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

Registration No. (Attorney/Agent)

39,209

February 26, 2002

Date

Signature

and from the first and from the first and from the first and the first a

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

## FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known					
Application Number	To Be Assigned				
Filing Date	February 26, 2002 (herewith)				
First Named Inventor	ZHANG				
Examiner Name	To Be Assigned				
Group Art Unit	To Be Assigned				
Attaura Daylard N	LUIE CA44				

			Group A	Art Unit		To Be Assigned	To Be Assigned		
TOTAL AMOUNT OF PAYMENT	(\$) 1272.00	Attorney D			et No.	NUFO011			
METHOD OF PAYMENT			FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:     Deposit Account Number 50-0815     Deposit Account Name Bozicevic, Field & Francis LLP			ADDITIO Large Entity Fee	Fee	Small Entity Fee				
☐ Charge Any Additional Fee Required			(\$)	Code	(\$)	Fee Description	Fee Paid		
Under 37 CFR 1.1 6 and 1.17  ☐ Applicant Claims small entity status. See 37 CFR 1.27			130	205	65	Surcharge – late filing fee or oath			
			50	227	25	Surcharge – late provisional filing fee or cover sheet			
2. Payment Enclosed:			130	139	130	lon-English specification			
☐ Check ☐ Credit Card ☐ Money Order ☐ Other			2,520	147		For filing a request for ex parte reexamination			
FEE CALCULATION		147 112	920*	112		Requesting publication of SIR prior to			
		ł ¨¯				Examination action			
2. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Description Fee Paid			1,840*	113	1,840	*Requesting publication of SIR after Examiner action			
Code (\$) Code (\$)	Fee Paid	115	110	215	55	Extension for reply within first month			
101 740 201 370 Utility filing fee	740 00	116	400	216	200	Extension for reply within second month			
106 330 206 165 Design filing fee		117	920	217	460	Extension for reply within third month			
107 510 207 255 Plant filing fee		118	1,440	218	720	Extension for reply within fourth month			
108 740 208 370 Reissue filing fee		128	1,960	228	980	Extension for reply within fifth month			
114 160 214 80 Provisional filing f	ee	119	320	219	160	Notice of Appeal			
SUBTOTAL (	740.00	120	320	220	160	Filing a brief in support of an appeal			
· · · · · · · · · · · · · · · · · · ·	1)   1.000	121	280	221	140	Request for oral hearing			
. EXTRA CLAIM FEES Fee from		138	1,510	138	1,510	Petition to institute a public use proceeding			
Extra Claims below	Fee Paid	140	110	240	55	Petition to revive – unavoidable			
otal Claims         38 -20** = 18 x         18 = 32           ndep Claims         5-3** = 2 x         84 = 16		141	1,280	241	640	Petition to revive – unintentional			
<u>ndep Claims 5-3** = 2 x 84 = 16</u> Multiple Dependent =	8.00	142	1,280	242		Utility issue fee (or reissue)			
		143	460	243	230	Design issue fee			
		144	620	244		Plant issue fee			
arge Entity Small Entity		122	130	122		Petitions to the Commissioner			
ee Fee Fee Fee Fee Descripti ode (\$)     Code (\$)	on	123	50	123	50	Processing fee under 37 CFR 1 17(q)			
03 18 203 9 Claims in excess	of 20	126 581	180	126		Submission of Information Disclosure Stmt			
02 84 202 42 Independent clain	Independent claims in excess of 3		40	581	40	Recording each patent assignment per property (times number of properties)	40.00		
04 280 204 140 Multiple depender	Multiple dependent claim, if not paid		740	246		For each additional invention to be examined (37 CFR § 1.129(a))			
09 84 209 42 ** Reissue indepe	** Reissue independent claims		740	249		For each additional invention to be examined (37 CFR § 1 129(b))			
		179	740	279		Request for Continued Examination (RCE)			
10 18 210 9 ** Reissue claims and over origi		169	900	169		Request for expedited examination of a design application			
SUBTOTAL (2	) \$ 492.00	Other	fee (spe	cify)		•			
•									
or number previously paid, if greater, For Reissue	s, see above	*Redu	iced by E	Basic Fili	ng Fee	Paid SUBTOTAL (3) (\$)	40.00		

SUBMITTED BY	lete ( <i>if applicable)</i>	if applicable)			
Name (Print/Type)	Robert C. Hall	Registration No (Attorney/Agent)	39,209	Telephone	(650) 327-3400
Signature	wit UM			Date	02/26/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.